

2134

PTO/SB/21 (05-03) (AW 07-03)

Approved for use through 04/30/2003. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages In This Submission 9 + 10 references

Application Number	10/066,358
Filing Date	January 31, 2002
First Named Inventor	Hiroshi Furuyama et al.
Art Unit	2134
Examiner Name	Gregory Allan Morse
Attorney Docket No.	MAT-8224US

ENCLOSURES (Check all that apply)

<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/Declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation, Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): return postcard; PTO Form 1449, 10 references; European Search Report
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Technology Center 2100

SIGNATURE OF APPLICANT, ATTORNEY OR AGENT

Firm or Individual	<i>Lawrence E. Ashery</i>	Registration No. (Attorney/Agent)	34,515
Signature	<i>Lawrence E. Ashery</i>		
Date	May 4, 2004		

CERTIFICATE OF TRANSMISSION / MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this date:

Name (Print/Type)	Fran Petrillo		
Signature	<i>Fran Petrillo</i>	Date	May 4, 2004

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MAT-8224US

PATENT

#7

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No.: 10/066,358
Applicant: Hiroshi Furuyama et al.
Filed: January 31, 2002
Title: INFORMATION TERMINAL APPARATUS AND AUTHENTICATING SYSTEM
TC/A.U.: 2134
Examiner: Gregory Allan Morse
Confirmation No.: 5337
Docket No.: MAT-8224US

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SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

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Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Pursuant to 37 C.F.R. §§ 1.97 and 1.98 and to the duty of disclosure set forth in 37 C.F.R. § 1.56, the Examiner in charge of the above-identified application is requested to consider and make of record the references listed on the PTO 1449 (R&P) submitted herewith. A copy of each of the listed references is also enclosed.

Although the information submitted herewith may be "material" to the Examiner's consideration of the subject application, this submission is not intended to constitute an admission that such information is "prior art" as to the claimed invention.

In accordance with 37 C.F.R. § 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made.

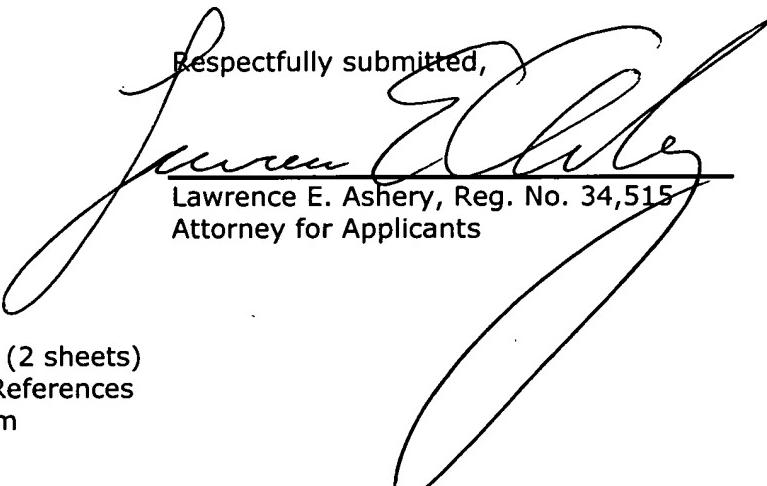
The following references are not in the English language:

- 1) JP 2000-321652
- 2) WO 99/24938

References 1) and 2) include an English abstract.

This Information Disclosure Statement is being filed before mailing of the first Official Action. No first Official Action has yet been received and it is presumed that none has yet been mailed. No fee or statement is required. 37 C.F.R. § 1.97(b).

Respectfully submitted,


Lawrence E. Ashery, Reg. No. 34,515
Attorney for Applicants

LEA/fp

Enclosures: PTO Form 1449 (2 sheets)
Copies of (10) References
Transmittal Form

Dated: May 4, 2004

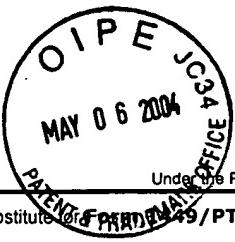
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(610) 407-0700

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Fran Petrucco



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Substitute for Form 149 / PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

<p style="text-align: center;">INFORMATION DISCLOSURE STATEMENT BY APPLICANT</p> <p><i>(Use as many sheets as necessary)</i></p>	<i>Complete if Known</i>	
	Application Number	10/066,358
	Filing Date	January 31, 2002
	First Named Inventor	Hiroshi Furuyama et al.
	Art Unit	2134
	Examiner Name	Gregory Allan Morse
SHEET 1 of 2	Attorney Docket No.	MAT-8224US

U.S. PATENT DOCUMENTS

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MAY 10 2004

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FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date (MM-DD-YYYY)	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³ - Number ⁴ - Kind Code ⁵ (If known)				
		JP 2000-321652 (with English abstract)	11/24/00	CANON INC.		<input type="checkbox"/>
		WO 99/24938 (with English abstract)	05/20/99	RITTER RUDOLF; SWISSCOM AG		<input type="checkbox"/>
		WO 00/31679 A	06/02/00	IRISCAN INC		<input type="checkbox"/>
		EP 0 999 518 A	05/10/00	SONY COMP ENTERTAINMENT INC.		<input type="checkbox"/>
		WO 00/33569 A	06/08/00	IRISCAN INC.		<input type="checkbox"/>
		GB 2 331 613 A	05/26/99	IBM		<input type="checkbox"/>
		JP 2000-321652 A (English abstract only)	11/24/00	CANON INC.		<input type="checkbox"/>
						<input type="checkbox"/>

Examiner Signature		Date Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

¹Applicant's unique citation designation number (optional).

²See Kind Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04.

³Enter Office that issued the document, by the two-letter code (WIPO Standard St.3).

*For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible.

6Applicant Is to place a check mark here if English language translation is attached.

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(AW 08/03)

Substitute for Form 1449B/PTG

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

SHEET 2 of 2

<i>Complete if Known</i>	
Application Number	10/066,358
Filing Date	January 31, 2002
First Named Inventor	HIROSHI FURUYAMA et al.
Art Unit	2134
Examiner Name	Gregory Allan Morse
Attorney Docket No.	MAT-8224US

NON-PATENT LITERATURE DOCUMENTS

Examiner Signature		Date Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

1Applicant's unique citation designation number (optional).

²Applicant is to place a check mark here if English language translation is attached.

The collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 120 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS.
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